

U.S. Department of Commerce, Patent and Trademark Office						Attorney Docket No.: 1004-3633		
						Application No.: 09/357,726		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicant(s): David L. Wood et al.		
(Use separate sheets if necessary)						Filing Date: July 21, 1999		
						Group Art Unit: 2750		
						Date Submitted: November 10, 1999		
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
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		Document	Date	Country	Class	Subclass	Yes	No
	AL							
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	AN							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
AM	AR	"X/Open Single Sign-On Service (XSSO) - Pluggable Authentication Modules," <i>Open Group Preliminary Specification</i> , P702 ISBN 1-85912-144-6, June 1997, 140 pages.						
AM	AS	"Kerberos: An Authentication Service for Computer Networks," USC/ISI Technical Report number ISI/RS-94-399, 1994, <i>IEEE Communications Magazine</i> , Vol. 32, No. 9, pages 33-38, September 1994, http://nii.isi.edu/publications/kerberos-neuman-tso.html , 12 pages.						
AM	AT	"A White Paper on Authentication and Access Management Issues in Cross-organizational Use of Networked Information Resources," Clifford Lynch, editor, <i>Coalition for Networked Information</i> , April 14, 1998, http://www.cni.org/projects/authentication/authentication-wp.html , 28 pages.						
Examiner		Date Considered 3/21/03						
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AM	AR	"AutoSecure SSO, The single-point information security solution for heterogeneous environments," <i>PLATINUM Technology Brochure</i> , downloaded May 3, 1999, http://www.platinum.com/products/brochure/sm/autosso/ssobroch.htm , 5 pages.						
AM	AS	D. Davis and R. Swick, "Workstation Services and Kerberos Authentication at Project Athena," <i>MIT Laboratory for Computer Science Technical Memorandum #424</i> , March 17, 1989, 12 pages.						
M	AT	Don Davis, "Kerberos Plus RSA for World Wide Web Security," <i>Proc. 1st USENIX Workshop on Electronic Commerce</i> , NYC, August 3, 1995, 4 pages.						
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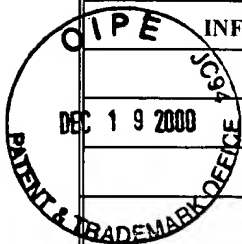
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MM	AR	D. Geer and D. Davis, "Token-Mediated Certification and Electronic Commerce," <i>Proc. 2nd USENIX Workshop on Electronic Commerce</i> , Oakland, CA, November 1996, 11 pages.						
MM	AS	"Enterprise Single Sign-On, Definitions and Approaches," <i>PLATINUM Technology White Paper</i> , downloaded May 3, 1999, http://www.platinum.com/products/wp/wp_sso.htm , 7 pages.						
MM	AT	"How Does PATROL CGI Server Work?" BMC Software, Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/how_itworks.html , 3 pages.						
Examiner <i>Paul Wey</i>			Date Considered <i>3/21/03</i>					
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AM	AR	"How to Develop a Network Security Policy, An Overview of Internetworking Site Security," <i>Sun Microsystems White Paper</i> , Palo Alto, CA, downloaded April 20, 1999, http://www.sun.com/security/sec.policy.wp.html , 23 pages.						
AM	AS	"Kerberos and 3rd Party Authentication," Version 2.1 - March 26, 1994, <i>SecurityDynamics White Paper</i> , Bedford, MA, http://www.securitydynamics.com/products/whitepapers/kerberos.html , 8 pages.						
AM	AT	<u>Mastering Security on the Internet for Competitive Advantage</u> , Sun Microsystems, Palo Alto, CA, August 1997, 47 pages.						
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AM	AR	Ari Medvinsky et al., "Public Key Utilizing Tickets for Application Servers (PKTAPP)," <i>Cybersafe Corporation INTERNET-DRAFT</i> , Common Authentication Technology Working Group, Issaquah, WA, March 1998, http://www.ietf.org/internet-drafts/draft-ietf-cat-pktapp-01.txt , 6 pages.						
AM	AS	"Optimizing Internet/Intranet Services with PATROL CGI Server," <i>BMC Software White Paper</i> , Houston, TX, downloaded April 20, 1999, http://www.bmc.com/cgiserver/info/cgawp.html , 15 pages.						
AM	AT	"PLATINUM AutoSecure Single Sign On (AutoSecure SSO)," <i>PLATINUM Technology Fact Sheet</i> , downloaded May 3, 1999, http://www.platinum.com/products/factsht/assso_fs.htm , 3 pages.						
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AM	AR	"Products Application Server," Art Technology Group Product Overview Developer Workbench, downloaded April 21, 1999, http://www.atg.com/products/das/das_main.html?didframe=true , 4 pages.					
AM	AS	"TrustBroker™ Security Server, The Only Multi-Mechanism Authentication Server," CyberSafe Corporation, Issaquah, WA, 1999, 2 pages.					
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Examiner <i>Paul WY</i>		Date Considered <i>3/2/07</i>					
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				Group Art Unit: 2182				
				Date Submitted: December 12, 2000				
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AN	AA	5,875,296	Feb. 23, 1999	Shi et al.	395	188.01		
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AN	AL	96/42041	27 Dec. 1996	WO				
AN	AM	98/25373	11 Jun. 1998	WO				
AN	AN	98/57247	17 Dec. 1998	WO				
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					Application No.: 09/357,726			
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					Filing Date: July 21, 1999			
					Group Art Unit: 2182			
					Date Submitted: March 8, 2001			
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
AM	AA	5,826,014	Oct. 20, 1998	Coley et al.	395	187.01		
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AM	AR	Hubbard, S. D. et al., "Firewalling the Net" BT Technology Journal, BT Laboratories, GB, vol. 15, no. 2, April 1997, XP000703560, ISSN: 1358-3948, pages 94-106.						
AM	AS	Doty, Ted, "A Firewall Overview", ConneXions, vol. 9, no. 7, 1 July 1995, XP000564023, ISSN: 0894-5926, pages 20-23.						
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